

The undersigned authority has the honour to certify, in conformity with article 6 of the Convention
L' autorite soussignee a l'honneur d' attester conformement a l'article 6 de ladite Convention.

1) that the document has been served the (date):
que le demande a ete executee le (date):
Tuesday 2 June 2009

-at (place, street, number):

-a (localite, rue, numero):

5 King William Street, London, EC4N 7DA, England (Registered Office of Daiwa Securities SMBC Europe Limited)

- in one of the following methods authorised by article 5:
- dans une des formes suivantes prevues a l'article 5:

a) in accordance with the provisions of sub-paragraph (a) of the first paragraph of article 5 of the Convention
selon les formes legales (article 5, alinea premier, lettre a)

b) in accordance with the following particular method:
selon la forme particuliere suivante:

Personally served upon Daiwa Securities SMBC Europe Limited at the direction of the Senior Master of the Queen's Bench Division of the Supreme Court of England and Wales by the agent for the purpose of Graham Henry Bridgman, a Solicitor of the Supreme Court of England and Wales, by a method prescribed by the internal law of England and Wales for the service of documents in domestic actions upon persons who are within its territory. The papers were served upon the company on Friday 29 May 2009 resulting in the deemed date of service above under English court rules.

c) by delivery to the addressee, who accepted it voluntarily
par remise simple

the documents referred to in the request have been delivered to:
les documents mentionnes dans la demande ont ete remis a:

- (identity and description of person):

- (identite et qualite de la personne):

Roger Massey

- relationship to the addressee (family, business or other):

- liens de parente de subordinaion ou autres avec le desinataire de l'acts:

Company Secretary of Daiwa Securities SMBC Europe Limited

2) that the document has not been served, by reason of the following facts:
que la demande n'a pas ete executee, en raison des faits suivants:

~~in conformity with the second paragraph of article 12 of the Convention, the applicant is requested to pay the expenses detailed in the attached statement~~
~~conformement a l'article 12, alinea 2 de ladite Convention, le requerant est prie de payer ou de rembourser les frais dont le detail figure au memoire ci joint~~

Annexes

Documents returned

Pieces renvoyees

in appropriate cases, documents establishing the service
le cas echeant, les documents justificatifs de l'execution

Done at London

Fait a

the (date):
le:

- 9 JUN 2009



Signature and/or stamp:
Signature et/ou cachet:

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

In Re Lehman Brothers Securities Litigation

This Document Applies to:

Lehman Brothers Equity/Debt Securities
Litigation, 08 Civ. 5523

Civil Action No. 09 md 2017

**Witness Statement of Service in accordance with sub paragraph (b)
of the first paragraph of article 5, Hague Convention
on the Service Abroad of Judicial and
Extrajudicial Documents In Civil or Commercial Matters**

I, **JOHN FREDERICK TALBOT**, Process Server, of 4 Church Lane, Great Gonerby, Grantham, NG31 8JU, England, say as follows:

1. In the matters detailed below I was acting as agent for Graham Henry Bridgman a Solicitor of the Supreme Court of England and Wales who was in turn acting at the direction of the Central Authority of England and Wales.
2. On Friday the 29th day of May 2009 at 1230 hours I personally served Daiwa Securities SMBC Europe Limited, one of the Defendants herein, with the Summons and Second Amended Complaint herein, together with the ECF Guidelines for Southern District of New York and the Individual Practices of The Honorable Lewis A. Kaplan, together with the Hague Convention Summary of the Document to be Served and the Hague Convention Notice, by handing them to and leaving them with Roger Massey, Company Secretary of Daiwa Securities SMBC Europe Limited, at the Company's premises at 5 King William Street, London, EC4N 7DA, England, being its registered office, which is a method of service in accordance with English Court rules. Under those rules, the deemed date of service is Tuesday the 2nd day of June 2009.
3. Exhibited hereto marked 'A' is a bundle containing a copy of each of the documents so served by me.
4. I believe that service has been effected, as directed by the requesting authority, in accordance with a method prescribed by the internal law of England and Wales and thus in accordance with sub paragraph (b) of the first paragraph of article 5, Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters.

I believe that the facts stated in this witness statement are true

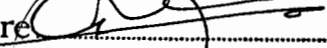
Dated: 31 May 2009

Signed:



JOHN FREDERICK TALBOT

I hereby certify that this is a true copy of the original

Signature 
G H Bridgman LLB Solicitor

Date 3 June 2009